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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet	1	of	2	Attorney Docket Number	AO-MAXC:002US
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Complete if Known

Application Number	10/781,440
Filing Date	February 18, 2004
First Named Inventor	Linda N. Liu
Art Unit	MA 1036
Examiner Name	Not Yet Assigned Burkhardt

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
MB	AA	US-20030073238	04-17-2003	Dzekunov et al.	
	AB	US-20040009194	01-15-2004	Andrieu et al.	
	AC	US-5962318	10-05-1999	Rooney et al.	
	AD	US-20040022813	02-05-2004	Bystryn	
	AE	US-6306388	10-23-2001	Nair et al.	
MB	AF	US-20020058317	05-16-2002	Zimmermann	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ³
		Country Code ⁴ -Number ⁴ -Kind Code ⁵ (if known)				
MB	BA	WO-03012086	02-13-2003	Sigma-Tau Industrie Farmaceutiche Riunite, S.P.A.		
	BB	WO-03012085	02-13-2003	Sigma Tau Industrie Farmaceutiche Riunite, S.P.A.		
MB	BC	WO-0239951	05-23-2002	Globe Immune, Inc.		
	BD	WO-03100040	12-04-2003	Merck Patent GMBH		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant

¹ Applicant's unique citation designation number (optional). ² See attached Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
MB	CA	Foged et al, "Targeting Vaccines to Dendritic Cells," Pharmaceutical Research Vol. 19, No. 3, March 2002, pg 229 - 238.	
	CB	Colino et al, "Dendritic Cells, new tools for vaccination," Microbes and Infection 5, 2003, pp. 311-319.	
	CC	Wildera et al, "Increased DNA Vaccine Delivery and Immunogenicity by Electroporation In Vivo," The Journal of Immunology Vol. 165, 2000, pp. 4635-4640.	
	CD	Mir et al, "Therapeutic Perspectives of In Vivo Cell Electroporation," Bioelectrochemistry 53, 2000, pp 1- 10.	
	CE	Taylor et al, "Human Tumour and Dendritic Cell Hybrids Generated by Electrofusion: Potential for Cancer Vaccines," Biochimica et Biophysica Acta 1500, 2000, pp 265 - 279.	
	CF	Li et al, "Delivery of Exogenous Antigen into the Major Histocompatibility Complex Class I and Class II Pathways by Electroporation," Journal of Leukocyte Biology Vol. 56, November 1994, pp. 616 - 624.	
MB	CG	Chen et al, "Electroporation and Commercial Liposomes efficiently Deliver Soluble Protein into the MHC Class I Presentation Pathway," Journal of Immunological Methods Vol. 160, 1993, pp 49-57.	
	CH	Harding, Clifford, "Electroporation of Exogenous Antigen into the Cystol for Antigen Processing and Class I Major Histocompatibility Complex (MHC) Presentation: Weak Base Amines and Hypothermia (18 C) Inhibit the Class I MHC Processing Pathway," Eur. J. Immunology Vol. 22,	

Examiner Signature	<i>Michael Lewis</i>	Date Considered	3-9-05
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Sheet	2	of	2	Attorney Docket Number	AO-MAXC:002US

		1992, pp 1865 - 1869.	
MB	CI	Kuroki et al, "Significance of Tumor-associated Antigens in the Diagnosis and Therapy of Cancer, an Overview," Anticancer Research Vol. 22, 2002, pp. 4255 - 4264.	
	CJ	Asavaroengchai et al, "Tumor Lysate-pulsed Dendritic Cells can Elicit an Effective Antitumor Immune Response During Early Lymphoid Recovery," PNAS Vol. 99, No.2, January 22, 2002, pp. 931-936.	
	CK	Holti et al, "Immunotherapy of Metastatic Renal Cell Carcinoma with Tumor Lysate-pulsed Autologous Dendritic Cells," Clinical Cancer Research Vol. 8, November 2002, pp. 3369 - 3376.	
	CL	Herr et al, "Mature Dendritic Cells Pulsed with freeze-thaw cell lysates define an effective in vitro vaccine designed to elicit EBV-specific CD4+ and CD8+ T lymphocyte Responses," Blood Vol. 96, No. 5, September 1, 2000, pp 1857 - 1864.	
	CM	Geiger et al, "Vaccination of Pediatric Solid Tumor Patients with Tumor Lysate-pulsed Dendritic Cells Can Expand Specific T Cells and Mediate Tumor Regression," Cancer Research Vol. 61, December 1, 2001, pp. 8513 - 8519.	
	CN	Shnerr et al, "Apoptotic Pancreatic Tumor Cells are Superior to Cell Lysates in Promoting Cross-Priming of Cytotoxic T Cells and Activate NK and YB T Cells," Cancer Research Vol. 62, april 15, 2002, pp. 2347 - 2352.	
MS	CO	Kim et al, "Enhancement of Antitumor Immunity by Dendritic Cells loaded with Exogenous Antigen by Electroporation," Experimental Biology 2002: Meeting Abstracts, pg A664.	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Examiner Signature	<i>Amel Pley</i>	Date Considered	3-9-05
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